



**University of  
Roehampton  
London**

**RESEARCH DEGREES HANDBOOK  
2022- 23**

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## Research Degrees Handbook 2022-23

For any queries related to this Handbook and its contents, please contact the Graduate School office at [graduateschool@roehampton.ac.uk](mailto:graduateschool@roehampton.ac.uk) or on 020 8392 3715/3848.

## **INTRODUCTION**

This handbook sets out the University's standard policies and procedures relating to research degree programmes for currently registered students and current supervisors and should be read in conjunction with the Research Degree Regulations. Academic Schools may stipulate additional requirements for their research students in School handbooks and other documentation. The [Graduate School pages](#) on the University of Roehampton website and [Graduate School Moodle](#) site are also useful sources of information.

## **1. GOVERNANCE OF RESEARCH DEGREES**

A series of academic staff has responsibility for research degree programmes and research student matters. *Further details are given in Appendix 2.*

### **Roles**

#### **Research Degrees Convener (RDC)**

Each academic School has a Research Degrees Convener whose role is to co-ordinate the arrangements for research students on behalf of the Dean of School. The Research Degrees Convener is usually a qualified Director of Studies with substantial experience of research student supervision. They chair the departmental Research Student Review Board and take responsibility for items relating to research degree programmes at the School Committee. They also represent the department on the Research Degrees Committee and Supervisors' Forum. Among other responsibilities, they will ensure that research students participate in appropriate research development activities and encourage and support the integration of research students into the research environment of the department and the University.

#### **Dean of School**

The Dean of School has overall responsibility for the welfare and academic progress of research students in their academic school and for ensuring that the school complies with University rules, policies and codes of practice that relate to postgraduate research.

#### **Director of the Graduate School**

The Director of Graduate School acts with the delegated authority of the Chair of RDCCom.

They oversee the development and implementation of the rules, policies and codes of practice that relate to research degrees and research students, and of the Research Student Development Programme, as well as acting as advocate for the postgraduate research student body on University committees and other groups. They chair the Research Student Forum.

#### **Pro-Vice Chancellor (Research and External Engagement)**

The Graduate School sits within the Research Office, which is led by the Pro-Vice Chancellor (Research and External Engagement). They are chair of Research Degrees Committee (RDCom).

## **University Committees Responsible for Research Students**

### **Research Degrees Committee**

The Research Degrees Committee (RDCom) is the University-level committee which deals with research student matters, making recommendations to Senate on research degree policies and procedures, and confirming awards for and monitoring the progression of research students. In addition, RDCom is the committee which works with academic schools to support and enhance the research student experience. The committee meets four times a year. Research students are represented on RDCom by four student representatives. They are nominated by the Director of the Graduate School who will normally call for volunteers and arrange for election among research students on an annual basis.

### **Research Student Forum**

RDCom's decisions and recommendations are informed by discussions in the research student forum, chaired by the Director of the Graduate School. The Research Student Forum also meets four times a year and is attended by the research student representatives on RDCom.

In addition, there are positions for research student representatives, and/or for research students, on a range of University and departmental committees. Nominations are made by the Roehampton Student Union President, and interested students should make contact with them. The committees concerned include Student Senate, Senate, Research and Knowledge Exchange Committee, Ethics and Research Integrity Committee, and Library Committee. There is also a dedicated Student Union representative who sits on academic programme boards, and can bring issues to university-level academic committees. Please contact the Graduate School or Student Union for contact information.

### **Departmental Research Student Review Board (RSRB)**

Each department has an RSRB, chaired by the Research Degrees Convener. The Board meets four times a year in coordination with the timings of RDCom to approve research student matters delegated to the department and to make recommendations to RDCom.

### **The Graduate School**

The Graduate School provides a central University focus for the research student community, overseeing the research student experience and supporting the training and development of both research students and research supervisors. The Graduate School supports the critical academic work of Schools in providing both supervision

and the wider research context. The Graduate School is supported by the departments in providing vital information in relation to student engagement and in monitoring academic progression through key doctoral milestones. It has responsibility for quality assurance on research student programmes, scrutinising and reviewing research student policies and procedures, and confirming academic awards and outcomes. In its student-facing work, it acts as a one-stop shop to support and signpost research students from enquiry to completion. As a key part of this role, it has responsibility for data control, flow and management in relation to research students. Through induction, research student conferences and other events, social and academic, the Graduate School works to make research students feel part of the University.

The team in the Graduate School supports the examination process, as well as acting as co-ordinators for other queries related to central administration for research degrees and research student matters.

The Graduate School team consists of the Senior Graduate School Officer and the Graduate School Administrator.

The Graduate School is located in Grove House.

Please contact the Graduate School on [Graduateschool@roehampton.ac.uk](mailto:Graduateschool@roehampton.ac.uk) or telephone the Graduate School Administrator on 020 8392 3715

## **Student Queries**

Students should normally raise academic queries and concerns first with their supervisors, with the DoS having overall responsibility. Beyond the supervisory team, matters should normally next be raised with the departmental Research Degrees Convener, then the Dean of School and finally with the Director of the Graduate School.

Non-academic queries should be directed to the Graduate School in the first instance or the relevant Departmental Programme Administrator.

## **Appeals & Complaints**

Students who wish to raise an appeal or make a complaint should contact Roehampton Student Union in the first instance, in accordance with University guidelines. See

<https://www.roehampton.ac.uk/current-students/complaints/>

## 2. REGISTRATION

### Enrolment

Students enrol when first registering with the University, in advance of their start date on either the 1 October or 1 January, and will then re-enrol on 1 October in each subsequent academic year. Students who first enrol in January will be charged tuition fees pro rata for the first nine months and will then be charged for a further 12 months when they re-enrol in October. Students will also be required to re-enrol on returning from an approved interruption of study.

Tuition fees are payable at enrolment. See <https://www.roehampton.ac.uk/graduate-school/fees-and-funding/>. Fees may rise each year and terms and conditions apply.

Students must complete re-enrolment within one month of the start of the academic year. Students may be allowed additional time to re-enrol following consultation with, and written permission from, the Graduate School. If a student is not eligible for additional time to re-enrol and/or has not made contact with the University following a final warning email, then their registration on the programme may be terminated.

Where the supervisory team comprises staff from more than one academic School, the student will be registered for administrative purposes in one School, normally that of their main supervisor.

### Induction

All new research students must attend the Graduate School Induction, shortly after registration in October or January (Separate inductions are provided for students on professional doctoral programmes, organised by programme convenors.) Induction will provide an introduction to: being a research student; working with supervisors; the doctoral process and the academic milestones involved; the Research Student Development Programme; key personnel and the range of support services and facilities available in the university for research students. Induction is compulsory for all new students and contributes towards the Research Student Development Programme requirements (see below).

Each School will provide induction for new research students, including an introduction to School personnel, support structures and facilities.

**Student Visa:** Please note that you will be asked to bring the documents that were used on your CAS application. These will be checked by our Immigration team on the day of your Induction. If you are unable to attend the compulsory induction, then you must schedule another meeting with the immigration team that week.

### Supervisory Team

A student's supervisory team is usually made up of a Director of Studies and one Co-



Supervisor. Exceptionally a further Co-Supervisor may be permitted. The team is initially appointed when an offer of admission onto a research degree programme is made to the student.

### **Director of Studies**

A student's Director of Studies (DoS) is responsible for the overall direction and development of the student's programme of research.

### **Co-Supervisor**

The Co-Supervisor brings appropriate, additional expertise and meets with the student and Director of Studies when supervisory sessions are arranged.

Exceptionally the Dean of School may seek approval from the Research Degrees Committee to appoint a Co-Supervisor from outside the University in order to bring additional expertise to the supervisory team or in order to address the needs of a funded project.

*More detail regarding appointments to the Supervisory team is given in Appendix 2.*

**Research students should expect to have their first supervision meeting with their new supervisory team within a month of beginning their new doctoral programme.**

## Attendance Requirements

Every student is expected to participate fully in the research culture of their School and the University. This includes attending:

- all scheduled supervisory sessions (a minimum of 6-8 supervisions per year for full-time students and 4-6 per year for part-time students, including a minimum of 1 with the whole supervisory team). Supervisions are counted when they involve one or more supervisors and the student at the same time, in person, or by conference call, Teams, Skype etc Other means of communication, such as email, do not contribute to the minimum of supervisions required; **Research students on study visas will be required to attend 8 supervisions per year in person; a minimum of 3 RSDP in-person sessions per year; and attend your induction and RCom3 progression review in person.**
- all required elements of the Research Student Development Programme (see Section 5), and any lectures, seminars, laboratories, workshops, conferences and other events, identified as necessary by the supervisory team;
- meetings of any research centre or group to which the student belongs;
- meetings integral to the progression through doctoral milestones;
- the oral examination following the final submission of the thesis or portfolio.

Every student is required to keep in regular contact with their supervisory team and is required to attend all scheduled supervisory sessions. If for good reason a student is unable to attend a scheduled session, they must notify the members of the supervisory team in writing as far in advance as possible.

**Student Visa:** The University holds the status of Student Visa Sponsor, as such, is obliged to monitor and report on the status of any of its research students who hold a Tier 4/Student Visa. Research students are expected to be physically present at the University for the duration of their studies.

A Supervision Record should be completed on the attendance monitoring system (SEAts) for each supervisory session. Guidance on what information should be recorded on SEAts can be found in Appendix 4. This is especially important for international students on study visas **but university policy (see Appendix 4) requires a supervisory record for all students.** The University is required by law to monitor the attendance of students who require a visa in order to study in the UK. Students on a study visa and their supervisors should refer to Appendix 4.

A record of discussions and actions relating to the development of the research project should be kept by the supervisory team and research student.

## Hours of Study and Employment

All full-time research degree students are expected to commit to an average of a minimum of 40 hours of study per week for 40 weeks per academic year. A full-time funded student may undertake paid employment of their choosing on a part-time basis of up to a maximum of 6 hours a week, in accordance with UK Research Council

guidance. Students in receipt of full bursary funding from the University will be required to work within the University for an average of no more than 6 hours a week for up to 40 weeks per academic year providing teaching (including preparation time) or other assistance for their School. These students should not normally undertake any further paid work, within or outside the University.

Part time research degree students are expected to commit to an average of between 20 and 30 hours of study per week for 40 weeks per academic year. A part-time student may undertake paid employment which does not conflict with this requirement.

Academic Schools should monitor work being carried out on their behalf by students in receipt of a full bursary. Supervisory teams should advise students who may need to change their mode of study in order to ensure that they are free to work in line with University guidelines.

Students on a Student visa are permitted to work up to 20 hours part-time per week although students should check their own visa for further restrictions. Your working hours may increase if: 1) you've submitted your thesis and are currently waiting for your Viva date, or 2) you've submitted your corrections and are waiting for your Award to be ratified. During any other periods of study, you will not be able to work more than 20 hours whilst holding a student Visa. Please note that when teaching at the University, preparation time is also included in these hours.

### Minimum and Maximum Periods of Study

The minimum and maximum periods of study for programmes leading to the degrees of Master of Philosophy and Doctor of Philosophy, including the PhD on the basis of published works, are as set out in Section 9 of the Research Degrees Regulations:

Programme	Full-time		Part-time	
	Minimum	Maximum	Minimum	Maximum
MPhil ( <i>only</i> )	21 months	36 months	33 months	48 months
PhD	33 months	48 months	45 months	84 months
PhD (registered October 2019 onwards)	33 months	48 months	45 months	72 months
PhD (Professional) Education	36 months	48 months	48 months	84 months
DTh in Practical Theology (research element only)	21 months	36 months	33 months	60 months
PsychD Forensic Psychology	N/A	N/A	36 months	60 months
PsychD Counselling Psychology ( <i>whole programme</i> )	36 months	36 months	48 months	72 months

Research element only		24 months	36 months	48 months
PsychD Psychotherapy & Counselling ( <i>whole programme</i> )	N/A	N/A	36 months taught plus 36 months research (with award of MSc); OR 36 months taught plus 24 months research (without award of MSc)	36 months taught plus 36 months research (with award of MSc); OR 36 months taught plus 24 months research (without award of MSc)

Students must complete the minimum period of study before they may submit the final thesis or portfolio for examination. A student who is granted credit transfer in respect of part of the taught element of a Professional Doctorate will be granted exemption from the corresponding part of the minimum period of study. Not all Professional Doctorates make provision for credit transfer in this way (see the [academic regulations for the relevant programme](#)).

Students must submit the final thesis or portfolio for examination within the maximum period of study; otherwise, their registration on the programme may be terminated. Extensions to the maximum period of study should be made well in advance (see Section 4).

### Expiry of End Date (pre 2019 starters)

If a student's end date has been reached and the thesis has not yet been submitted then an extension should be applied for, otherwise the student's status will be automatically changed to programme suspended immediately following their end date expiry. If a student subsequently submits an application (RDCom6) to extend their registration then they will be made registered – see Section 4. If a student has not submitted an application to extend their registration within one month of their end date expiry then their registration on the programme may be terminated.

In circumstances where a student's registration on the programme has been cancelled for the reasons outlined above, the decision may be reversed and they may be allowed to return to their studies:

- If it has been less than three months since the student's registration was cancelled, then the decision may be reversed by the Graduate School.
- If it has been more than three months since the student's registration was cancelled, then the student may be required to request permission from the Research Degrees Committee via their School Research Student Review Board, to return.
- If a considerable amount of time has lapsed without contact then the

student may be required to reapply via the admissions process.

### **Expiry of End Date (Post 2019 starters)**

Students that reach their end date will have been through a completion review. This will have affected only the fee-status in their final year of registration, fee free or fee-paying depending on the outcome of the completion review. A student that is then approaching their registration end date with the knowledge they will not be able to submit by the end of it must submit an application (RDCom6) to extend their registration. Successfully passing completion review does not adjust the students' registration end date

If a student has not submitted an application to extend their registration within one month of their end date expiry then their registration on the programme may be terminated.

In circumstances where a student's registration on the programme has been cancelled for the reasons outlined above, the decision may be reversed and they may be allowed to return to their studies:

- If it has been less than three months since the student's registration was cancelled, then the decision may be reversed by the Graduate School.
- If it has been more than three months since the student's registration was cancelled, then the student may be required to request permission from the Research Degrees Committee via their School Research Student Review Board, to return.
- If a considerable amount of time has lapsed without contact then the student may be required to reapply via the admissions process.

### 3. DOCTORAL MILESTONES AND PROGRESSION

All student applications to departmental Research Student Review Boards should be progressed within one month from the point of submission to the School Office.

There are two formal doctoral milestones for current doctoral students on the MPhil/PhD or Professional Doctorate programmes. For students registering from October 2019 onwards, there are three formal doctoral milestones. Candidates for the PhD by Published Works or who transferred directly onto the PhD programme and completed equivalent milestones elsewhere, are not required to undergo these processes. Training sessions are offered as part of the Research Student Development Programme each term for each doctoral milestone and the viva process. These can be booked via the Graduate School [Moodle site](#).

#### **For students registered before October 2019**

##### **Project Confirmation (RDCom2)**

Students work on their project confirmation application with their supervisors from the start of their registration. This is the process of detailed planning and development of the proposed research project, both theoretically and practically (see Section 12 of the Research Degree Regulations). The purpose of the project confirmation process is to ensure that each student identifies in detail at an early stage in the programme of study an adequate project of research with the potential to satisfy the requirements for the intended award within the normal period of study, and an appropriate plan to carry it out. Other considerations at this stage include ensuring that appropriate supervisory arrangements are in place, clarifying the nature of any performance- or practice-based research that is intended to form part of the final submission and the format in which it will be submitted, and proposing any case for a final submission in a language other than English.

Students must apply (using form RDCom2) for project confirmation within not more than 9 months of full-time study, or 12 months of part-time study and must achieve project confirmation before undertaking any significant research. Applications for project confirmation are considered by the Research Student Review Board in the student's academic School against the following criteria:

- the intended contribution of the research and the extent of the student's knowledge of the area and of the context for the proposed research;
- the suitability of the proposed techniques of academic enquiry and the feasibility and adequacy of the plans to carry them out;
- the evidence that the student is engaging in scholarship at the required level and is able to present their work in an appropriate form;
- the realistic expectation that the project can be supported appropriately and completed within the normal period of study.

The Research Student Review Board may confirm the project; confirm the project subject to ethical approval, or turn down the application, and if the project is not confirmed, will provide feedback. If a student does not achieve project confirmation on

the first attempt, they will be given one further opportunity to submit a revised application within three months. If a student does not achieve project confirmation after two attempts, or does not submit an application within the deadline, their registration on the programme will be terminated.

### **Upgrade/progression (RDCom3)**

Students who are registered for the degree of Master of Philosophy and who wish to transfer to the degree of Doctor of Philosophy, or those registered on some Professional Doctorate programmes, must successfully complete the upgrade/progression process (see Section 13 of the Research Degree Regulations). It is the responsibility of the Director of Studies, in consultation with the Co-Supervisor(s), to advise students when they have reached the appropriate stage to submit an application. However, full-time students should normally apply halfway through their second year of study, and part-time students halfway through their third year of study. The upgrade, if approved, is backdated to the date of the student's initial registration on the programme.

The purpose of the upgrade process for MPhil/PhD students is to determine whether a student who has registered initially for the degree of Master of Philosophy should be permitted to undertake further study with the aim of submitting work for a doctoral degree. Applications are considered against the following criteria:

- evidence from the work which has been undertaken and the plan of work which remains to be done that the project has the potential to meet the requirements for the final submission for the degree of Doctor of Philosophy, or Professional Doctorate, including the intended contribution of the research and its scope for originality;
- evidence demonstrating the student's ability to sustain work and scholarly writing at doctoral level;
- the adequacy of progress to date with the programme of work and the suitability of any adjustments made to the project, including steps taken to address any problems which have been encountered;
- evidence that the plan of work which remains to be done can realistically be achieved within the normal period of study;
- the suitability of the plan for the format of the final submission.

The student's application (using form RDCom3) must include supporting evidence, as follows:

- a significant piece of scholarly work produced by the student, such as a draft chapter for the final submission of approximately 10000 words in length, or in the case of a student who is undertaking performance- or practice-based research a combined submission of scholarly writing and creative work in the ratio which has been agreed at the point of project confirmation;
- a written account of work that has been undertaken and a plan of work that remains to be done, including a plan for the format of the final submission (2000 words).



The Research Student Review Board in the student's academic School will convene an upgrade panel, comprising two independent assessors who are not members of the student's supervisory team to consider the application. The upgrade panel will interview the student as part of the decision-making process before making its recommendation to the Research Student Review Board. With the student's approval, supervisors are permitted to attend the upgrade interview but not to actively participate in it.

The Research Student Review Board may approve the upgrade without conditions or turn down the application, and if the upgrade is not approved, will provide feedback. If a student does not achieve the upgrade on the first attempt, they will be given one further opportunity to submit a revised application within three months. If a student does not achieve the upgrade after two attempts, or does not submit an application within the deadline they will remain registered for the degree of Master of Philosophy.

A student may ask the members of the upgrade panel and the Research Student Review Board to consider any circumstances which may have affected their performance since initial registration, under the provisions of the board to review any reasonable adjustments which have been made and to take further action if appropriate.

<https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/mitigating-circumstances-policy-2019.pdf>

A student who has achieved the upgrade may transfer back to the degree of Master of Philosophy (form RDCom3a) at any time up to the date of entry for the final examination, in which case the Research Degrees Committee will clarify the period of study and any deadlines or other conditions that apply.

Students on Professional Doctoral programmes are advised to consult their programme regulations and/or with their programme convenors for details of the RDCom3 as it operates on their programme.

### **Transfer to Writing-up Status (RDCom9)**

When PhD students are in the final stages of their programme and completing their thesis, they may apply to transfer to writing-up status for a period of up to one year, when they will not be required to pay tuition fees.

Students on writing up status are still fully registered students with full access to supervision and university and department resources.

Students wishing to transfer to writing-up status must:

- have the support of their supervisors and the approval of their Dean of School;
- not have an outstanding formal warning under the Cause for Concern Procedure;
- have successfully completed at least three years full-time study, or four years part-time study;
- have successfully upgraded to doctoral status;



- not have any outstanding tuition fees to pay.

Students who have transferred their registration from another institution to Roehampton can apply to transfer to writing-up status if they have completed in total the registration period normally required to qualify for writing-up status and have been registered with the University of Roehampton for at least one year full-time or 1.5 years part-time.

**Students on writing-up status are expected to submit within the maximum period of registration (see above).** If an extension to the maximum period of registration is required, the student will be returned to normal registration status and will be required to pay fees at the relevant full- or part-time rate for any additional periods of study. Sponsorship and other financial support arrangements may be reviewed in the case of an extension to the maximum period of registration.

Students wishing to transfer to writing-up status must submit an *Application for Transfer to Writing-up Status* (form RDCom9) to the Graduate School, copying in the School Research Degrees Convenor. If agreed, the transfer will normally be effective from the first day of the next month following approval. It is recommended that students seek advice (from their sponsor) on any implications for their funding or leave to remain in the UK before applying for a transfer.

### **Guidelines on transfer to Writing-Up status:**

#### PhD Programmes

**FT** students who are registered for the PhD award may apply for writing up status to cover **12 months'** registration on the following conditions:

- Successful transition through RDCom3
- No record of cause for concern
- No outstanding debts
- Completion of **3 years'** full time study

**PT** students who are registered for the PhD award and who enrolled on the programme **before 1<sup>st</sup> October 2016** may apply for writing up status to cover **12 months'** registration on the following conditions:

- Successful transition through RDCom3
- No record of cause for concern
- No outstanding debts
- Completion of **4 years'** part time study

**PT** students who are registered for the PhD award and who enrolled on the programme after 1<sup>st</sup> October 2016 may apply for writing up status to cover **12 months'** registration on the following conditions:

- Successful transition through RDCom3
- No record of cause for concern
- No outstanding debts
- Completion of **5 years'** part-time study

### Professional Doctorate Programmes

Students on Professional Doctorate Programmes are advised to consult the regulations for their specific programmes regarding eligibility for writing-up status.

#### **For students registered from October 2019 onwards**

#### **Project Confirmation (RDCom2)**

Students work on their project confirmation application with their supervisors from the start of their registration. This is the process of detailed planning and development of the proposed research project, both theoretically and practically (see Section 12 of the Research Degree Regulations). The purpose of the project confirmation process is to ensure that each student identifies in detail at an early stage in the programme of study an adequate project of research with the potential to satisfy the requirements for the intended award within the normal period of study, and an appropriate plan to carry it out. Other considerations at this stage include ensuring that appropriate supervisory arrangements are in place, clarifying the nature of any performance- or practice-based research that is intended to form part of the final submission and the format in which it will be submitted, and proposing any case for a final submission in a language other than English.

Students must apply (using form RDCom2) for project confirmation within 6 months of initial registration, or 9 months if part-time and must achieve project confirmation before undertaking any significant research. Applications for project confirmation are considered by the Research Student Review Board in the student's academic School against the following criteria:

- the intended contribution of the research and the extent of the student's knowledge of the area and of the context for the proposed research;
- the suitability of the proposed techniques of academic enquiry and the feasibility and adequacy of the plans to carry them out;
- the evidence that the student is engaging in scholarship at the required level and is able to present their work in an appropriate form;
- the realistic expectation that the project can be supported appropriately and completed within the normal period of study.

The Research Student Review Board may confirm the project; confirm the project subject to ethical approval, or turn down the application, and if the project is not confirmed, will provide feedback. If a student does not achieve project confirmation on the first attempt, they will be given one further opportunity to submit a revised application within three months. If a student does not achieve project confirmation after two attempts, or does not submit an application within the deadline, their registration on the programme will be terminated.

### **Progression Review (RDCom3)**

The purpose of the progression review process for research students is to determine whether the student is ready to proceed to the submission of a doctoral thesis. Full-time students should submit their progression review documentation no later than 18 months from initial registration, and part-time students 30 months from initial registration. Applications are considered against the following criteria:

- evidence from the work which has been undertaken and the plan of work which remains to be done that the project has the potential to meet the requirements for the final submission for the degree of Doctor of Philosophy, or Professional Doctorate, including the intended contribution of the research and its scope for originality;
- evidence demonstrating the student's ability to sustain work and scholarly writing at doctoral level;
- the adequacy of progress to date with the programme of work and the suitability of any adjustments made to the project, including steps taken to address any problems which have been encountered;
- evidence that the plan of work which remains to be done can realistically be achieved within the normal period of study;
- the suitability of the plan for the format of the final submission.

The student's application (using the Progression Review form) must include supporting evidence, as follows:

- a significant piece of scholarly work produced by the student, such as a draft chapter for the final submission of approximately 8000 words in length, or in the case of a student who is undertaking performance- or practice-based research a combined submission of scholarly writing and creative work in the ratio which has been agreed at the point of project confirmation;
- a written account of work that has been undertaken and a plan of work that remains to be done, including a plan for the format of the final submission (2000 words).

The Research Student Review Board in the student's School will convene a progression review panel, comprising two independent assessors who are not members of the student's supervisory team to consider the application. The progression review panel will interview the student as part of the decision-making process before making its recommendation to the Research Student Review Board. With the student's approval, supervisors are permitted to attend the progression review interview but not to actively participate in it.

The Research Student Review Board may approve the progression review without conditions or turn down the application, and if the progression review is not approved, will provide feedback. If a student does not achieve the progression review on the first attempt, they will be given one further opportunity to submit a revised application within three months. If a student does not achieve the progression review after two attempts, or does not submit an application within the deadline they may be recommended to be registered for the degree of Master of Philosophy or programme terminated.

A student may ask the members of the progression review panel and the Research Student Review Board to consider any circumstances which may have affected their performance since initial registration, under the provisions of the [Mitigating Circumstances Policy](#). If the student has a disability or impairment, they may ask the Research Student Review Board to review any reasonable adjustments which have been made and to take further action if appropriate.

Students on professional doctoral programmes are advised to consult their programme regulations and/or with their programme convenors for details of the RCom3 as it operates on their programme.

### **Completion Review (RCom11)**

Completion status is a 12-month period during which the student is expected to complete their programme of study. Students under completion status will not be expected to pay fees.

Research students who submit their doctoral thesis within three years of full-time study, or five years of part-time study, will be moved to 'completion status' at the end of their third year (or fifth if part time). Research students under completion status will continue to receive supervision and have access to university resources but will not pay fees for a period of 12 months.

Research students who have not submitted their doctoral thesis within three years of initial registration or within five years in the case of part-time students must undergo completion review. Students submitting a completion review do not need to complete an annual progress review.

The student's Research Student Review Board will assess the student's readiness to complete their studies within their normal period of registration on the basis of:

- i) A 'completion plan' consisting of a written account of no more than 2000 words of the work that has been undertaken and plan for successful completion within their period of registration
- ii) A report from the supervisory team evaluating the feasibility of the student's completion plan

The Research Student Review Board will decide either:

- i) To approve the completion plan, in which case the student will be moved to 'completion status'
- ii) Not to approve the plan, in which case the student's fee status will remain unchanged.

If the Research Student Review Board decides to approve the plan, the student will be moved to completion status.

If the Research Student Review Board decides not to approve the plan, the student will remain under their normal period of registration and fee status.

Any research student who exceeds their normal period of registration or does not

complete within the period of 'completion status' will need to apply for an extension to their registration as detailed in Section 9 of the academic regulations.

Where serious mitigating circumstances have prevented a student and team from submitting the RDCom11 to the appropriate RSRB this must be evidenced through a mitigating circumstances form with the application and should be reviewed by chair's action at the earliest opportunity. Students who suffer very serious mitigating circumstances in their third year which will seriously delay submission of the RDCom11 (i.e. more than one month later than the RSRB deadline) should be addressed through the normal intervention of interruption of studies which will in turn adjust the student's timeline accordingly.

### **Progression Monitoring and Review**

Formal monitoring of student progress on research degree programmes and the research component of Professional Doctorate programmes is undertaken during May and June each year and is recorded on the Annual Progress Report form. The Report should be discussed and completed at a meeting of the student and the full supervisory team. The weighting of supervisory responsibility for the next academic year is agreed at this meeting and specified on the report form. Students and the members of the supervisory team are collectively responsible for the timely completion and return of their respective sections of the Report. The Director of Studies is responsible for ensuring that all sections of the Report are returned to the School office for the Research Degrees Convener by the deadline. A student who does not contribute fully and on time may be sent a formal warning under the Cause for Concern procedure.

Annual Progress Reports are considered by the School Research Student Review Board if there is Cause for Concern, and the Research Degrees Convener submits a general summary on the reports considered in the department to the first meeting of the Research Degrees Committee of the academic year.

Serious concerns about student progress at the time of the Annual Progress Report, **or at any other time of year**, should be reported formally by the supervisory team if appropriate through the Cause for Concern procedure.

### **Cause for Concern Procedure**

The supervisors and/or the Research Degrees Convenor, should draw attention to a student's unsatisfactory progress at the time of submitting the Annual Progress Report, or at any other time of the year by initiating the Cause for Concern Procedure. (see Section 15 of the Research Degree Regulations for students registered before October 2019, and see section 17 of the Research Degree Regulations for students registered from October 2019).

The student is sent up to two formal warnings and meets with the appropriate Research Degrees Convener to discuss their progress. Students who fail to maintain satisfactory progress after due warning will have their registration at the University terminated. It is therefore very important that students keep their supervisors informed at all times about any factors that may have an adverse effect on their attendance,

academic progress or productivity.

## **Research Ethics and Academic Integrity**

Research ethics and integrity are critical to the reliability and advancement of academic knowledge. The university has resources in place to support students in developing their skills as researchers and maintaining good academic standards in their research and writing. These include training and resources offered by the Library and Academic Achievement Team and those offered through the Research Student Development Programme. Supervisors are expected to guide and support research students in maintaining good academic standards.

The university is a signatory to the [Concordat to Support Research Integrity](#) and is committed to its principles:

1. Maintaining the highest standards of rigour and integrity in all aspects of research;
2. Ensuring that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards;
3. Supporting a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers;
4. Using transparent, robust and fair processes to deal with allegations of research misconduct should they arise;
5. Working together to strengthen the integrity of research and to review process regularly and openly.

Whether or not doctoral research requires formal ethical approval, the university expects doctoral researchers to abide by the terms of the Concordat to Support Research Integrity and its academic integrity statement, outlined below:

“Academic integrity and honesty are fundamental to the academic work you produce at the University of Roehampton. You are expected to complete coursework which is your own and which is referenced appropriately. The university has in place measures to detect academic dishonesty in all its forms. If you are found to be cheating or attempting to gain an unfair advantage over other students in any way, this is considered academic misconduct and you will be penalised accordingly.”

The University Code of Good Research Practice and Research Integrity, which sets out the policies, guidance and procedures which govern the practice of research at Roehampton, applies to all researchers, including research students. Researchers are expected to be familiar with the Code and to adhere to it:

<https://www.roehampton.ac.uk/research/ethics/>

Students are required to confirm that work they are submitting is their own and to acknowledge appropriately the work of other researchers, whether this work is presented to fulfil doctoral milestones, or constitutes part or all of the final submission for the award of the doctorate. In addition to completing the RDCom10 (Candidate's Declaration Form), students will also need to submit their thesis via the Turnitin



dropbox on the Graduate School moodle site and download the originality report to include with their RDCom10. This is to ensure they have referenced all sources and quotations within their thesis appropriately. The originality report will also be made available to the examiners. If students return a similarity percentage of higher than 20%, they should work with supervisors to address any issues raised by the report before submitting the thesis. The university also expects that research students will maintain principles of academic integrity and honesty when presenting or disseminating their research, either at internal or external events or through publication.

The work of doctoral researchers is also covered by the university's Intellectual Property Policy. Students and supervisors have a responsibility to inform themselves of the university's intellectual property policy and to respect the intellectual property rights of academic collaborators. Academic Misconduct is defined by the university in the Student Code of Conduct. Cases of suspected academic misconduct, whether plagiarism, cheating, collusion (unauthorised cooperation), falsification, contract cheating or otherwise, will be investigated under the terms of the university's Student Disciplinary Regulations. In the severest cases, students may be expelled from the university on the grounds of academic misconduct.

Links to the policies referenced above can be found on the university's regulations, policies and procedures page.

<https://www.roehampton.ac.uk/corporate-information/policies/>

### **Ethical Research and Ethics Application**

At the time of submission of an application for project confirmation, the student should consider with their supervisory team whether an ethics application is required and if so, submit this simultaneously. If any pilot work requiring ethics approval is to be undertaken prior to submission of the project confirmation form, an ethics application should be submitted for the pilot work prior to being undertaken. Students and their supervisors are responsible for ensuring that project details submitted for project confirmation and ethics approval are consistent. If the project changes in the process of achieving project confirmation or after project confirmation has been achieved, students and their supervisors must consider whether the changes require an amended or further ethics application. Any queries related to ethics requirements should be directed to the departmental Ethics Advisor, or the University Ethics Officer (ethics@roehampton.ac.uk). Students should also visit the Ethics pages of the University website <https://www.roehampton.ac.uk/Research/Ethics/>

## 4. CHANGES TO REGISTRATION

### Changes in Mode of Study (RDCom4)

Changes between full- and part-time study may be approved through the following process. Note: the PhD on the basis of published works, DTh in Practical Theology PsychD Forensic Psychology and the PsychD Psychotherapy and Counselling can only be followed on a part-time basis. Changes in mode of study, if agreed, take effect from 1 October, 1 January, 1 April or 1 July as appropriate.

- The student discusses the proposal with all the members of the supervisory team, providing an outline of their progress to date and a provisional timetable for completion.
- The student submits the *Application for Change in Mode of Study* (form RDCom4) to the Graduate School for consideration and approval, ccing the School Research Degrees Convenor.
- The Graduate School notifies the student, the Research Degrees Convener and members of the supervisory team of the outcome.

To keep in line with UK Visa and Immigration expectations, students should avoid making requests for changes to mode of study in their final year of the normal period of registration. UKRI funded students should also note they are typically only permitted to make one change of mode of study during their period of registration and that changes in mode of study after the end of the funding period are prohibited.

### Interruption of Study (RDCom5)

Interruptions of study may be approved through the following process and, if agreed, take effect for a period of three, six, nine or twelve months starting on 1 October, 1 January, 1 April or 1 July as appropriate. Requests for shorter periods of interruption may be considered. Requests for longer periods of interruption will not normally be considered. Students can only interrupt within their period of registration. Requests for retrospective periods of interruption of more than three months will not normally be approved. Periods of parental leave are not considered interruptions. More detail on this process are provided in the Research Student Parental Leave Policy (see Appendix 5).

During a period of interruption, the student will temporarily leave their programme of study and their registration will be suspended for the duration of the interruption. Supervision should not be provided during the interruption and the student should not undertake any work that relates to their research degree.

In advance of submitting a request for interruption, it is important that students who are sponsored financially or have a visa to study in the UK inform themselves about the impact of any changes to their registration status (check offer letter terms & conditions and Appendix 4 below).

The process for requesting interruption of study is as follows:



- The student discusses the proposal with all the members of the supervisory team, providing the reasons for the request together with an outline of their progress to date and a provisional timetable for completion following the proposed period of interruption.
- The student submits the *Application for a Temporary Interruption to Registration* (form RDCom5) to the Graduate School for consideration and approval, copying the School Research Degrees convenor
- The Graduate School notifies the student, the Research Degrees Convener and members of the supervisory team of the outcome.

During periods of interruption, students are not eligible for academic supervision nor to access to the facilities of the University.

Possible reasons for requesting an interruption may include:

- Financial
- Medical - the student should provide electronic copies of medical certification and any other relevant documentation to the RDCom5 form. Medical certification will be treated as confidential and will be viewed by the Chair of the Research Degrees Committee only. It does not suffice for medical evidence to have been seen by a Director of Studies or Research Degrees Convener. The dates given in the medical certificate should correspond with the period of interruption requested. Non-English medical certificates should be accompanied by a translation (this can be a translation by the student).
- Ill-health of a family member - the student is required to care/look after a family member as a result of their ill-health. A medical certificate for the family member will be required in these circumstances.
- Divorce/marital/domestic issues
- Bereavement

**Students must submit appropriate documentary evidence to support their interruption request. For an interruption on medical grounds, a medical or doctor's note is required that covers the entire period of interruption requested. Applications on medical grounds without an appropriate medical note will not normally be approved.**

Interruptions will generally not be approved in instances where:

- The student states they will be working on the thesis/collecting data/using university facilities/completing modules during the period of interruption requested
- The interruption requested is excessively retrospective without good cause for the delay in the interruption request.
- The student has already been granted more than one year of interruptions
- The student's request is not supported by their supervisor and/or school, or the school has raised concerns about the viability of the student's research should further interruption be taken.

- The student's request is made towards the end of their registration end date and it is clear that the student will not be able to complete their degree without requiring an extension to their registration.

The University expects all students to manage minor illnesses, and other short-term absences themselves, and to exercise the ability to plan for such contingencies

Periods of interruption (continuous or cumulative) should not exceed 12 months in total. This is in line with UKRI training grant requirements, and reflects the need for continuity of study at doctoral level. **International students on study visas are typically limited to no more than 60 days of interruption.** The student may be advised to withdraw rather than continue to interrupt consecutively over long periods of time. Re-registration at a later date and exemption from part of the programme of study may be considered on a case-by-case basis

The student must notify the Graduate School by email ahead of their return to study. On return to study, the student is required to re-enrol. If you do not re-enrol within a period of 1 month you may be recommended for programme termination.

If you are receiving stipend payments from an external sponsor or studentship, it is your responsibility to inform the sponsor who will apply their own policy concerning stipend payments during periods of extended sick leave.

### **Extensions to the Maximum Period of Study (RDCom6)**

Extensions beyond normal maximum periods of registration will only be permitted where there is clear evidence of progress, and a suitable timetable to completion. Progress during an extension period will be closely monitored by supervisors and RSRBs.

A student may apply for an extension to their maximum period of study but should note that extensions are only granted in truly exceptional circumstances. Under no circumstances should a student be led to believe that they will automatically be granted an extension.

Extensions are not expected to exceed 12 months in total. Requests for extensions of registration conform to the quarterly structure of the Graduate School year. **Extension requests that are received more than three months before the student's current period of study cannot be considered, as it will not be possible to accurately gauge how long they need if an application is submitted too far in advance.**

Students may also request short extensions which will not change their period of registration, for example where extra time is required for completion of a doctoral milestone as a result of mitigating circumstances, under the terms of the mitigating circumstances policy. This process is done internally by submitting a request directly to the Academic School.

Extensions require a formal application using the RDCom6 form. Extensions should only be considered in response to unforeseen circumstances that occur that are beyond the control of the student and that adversely affect the student's ability to

submit within the expected time limit. There is no guarantee that an extension will be approved, especially if the unforeseen circumstances could have been mitigated at an earlier stage, for example, by applying for an interruption.

Students should apply for an extension using the following process:

- The student discusses the proposal with all the members of the supervisory team, providing an outline of their progress to date and a provisional timetable for completion.
- The student submits the *Application for an Extension to Maximum Period of Registration* (form RDCom6) to the Graduate School, cc'ing the School Research Degrees Convenor.
- The Graduate School notifies the student, the Research Degrees Convener and members of the supervisory team of the outcome.

All extensions must be paid for at the relevant full-time or part-time rate. If a student submits early and has at least one full month of registration remaining, they may be eligible for a partial refund of fees. Students seeking a refund on these grounds should contact the University's Finance Department by submitting a ticket via the service desk <https://portal.roehampton.ac.uk/nest/Pages/default.aspx#>

It is important for students who are sponsored financially or who are on a study visa, to inform themselves in advance about the impact of any changes to their period of study. Students should check with their sponsor and seek Graduate School advice if necessary.

### **Maximum permitted length of interruptions and extensions**

The total length of interruptions and extensions **consecutive or cumulative**, will not normally exceed twelve months.

**Student Visa:** Please note that all interruption and extension applications are subject to approval by the Immigration team.

## **Change of Supervisor (RDCom7)**

During the course of a student's period of study, it may be necessary to make changes to the composition of the supervisory team. Such changes should be kept to a minimum in order to ensure that the student's studies are not unnecessarily disrupted and the student should be informed of the arrangements at all times. Changes to supervisory arrangements must be approved through the following process:

- The Dean of School, or a nominee, reviews the team with the Graduate School and, where appropriate, the Research Degrees Convenor.
- The Dean of School submits the Application for Change to Approved Supervisory Arrangements (form RDCom7) for consideration by the Graduate School.
- If the Graduate School supports the application, it will notify the student and new team of the change. If the change involves the appointment of a new external Co-Supervisor (see Appendix 2) or will result in a supervisory team comprising more than the recommended 2 members, the Dean of School will first seek the approval of the Chair of Research Degrees Committee. The Change of Supervisor form must be approved by the Dean of School in order to monitor supervisor capacity and ensure that proposed supervisory teams meet the criteria stated in the Research Degrees Regulations. Teams which do not meet the proposed criteria may not be ratified by the Research Degrees Committee

## **Withdrawal (RDCom8)**

Students wishing to withdraw from their doctoral programme should consult with their supervisory team and/or relevant Research Degrees Convenor before making an application to withdraw from studies. Students who are experiencing personal and/or health problems which are leading them to consider withdrawing from studies are also strongly advised to discuss this with their Student Wellbeing Officer (SWO). If, following these discussions, a student still wishes to withdraw from study, they should complete the RDCom8 Application for Withdrawal of Registration. This form should then be sent to the Graduate School, copying in the relevant Research Degrees Convenor. Students formally applying to withdraw from studies should be aware that the University does not guarantee re-admission to students who withdraw from studies but later seek to re-apply. Students who are applying to withdraw from studies as part of the process of transferring to another institution should not complete and submit their withdrawal form until they have received and accepted an offer from the transfer institution.

Students may withdraw at any time, but fees will be charged to the end of the academic quarter (October to December, January to March, April to June, July to September). Students who require a visa in order to study in the UK and who withdraw their registration at the University will be reported to the UK Visas and Immigration and will be required to make arrangements to leave the UK without

delay. You will be expected to prove that you moved into a different immigration category, moved to another institution, or that you've made arrangements to leave the country (e.g. plane ticket).

## **5. RESEARCH STUDENT DEVELOPMENT & TRAINING**

Students are responsible for assessing their own development needs, in consultation with their supervisors, and should complete a review of their training requirements at the start of each academic year ahead of meeting their supervisors. This will form the basis for a training plan for the academic year.

It is the student's responsibility to record participation in development and training throughout their programme of research. Training needs and outcomes should be discussed at Annual Progress Review (APR) meetings. The RSDP Log (a log of all training & development) should be attached to the APR each year, and Directors of Studies are asked to counter-sign this cumulative training log to certify that they are confident that the student has undertaken the training as detailed in the log.

### **The RESEARCH STUDENT DEVELOPMENT PROGRAMME (RSDP) (for students registered before October 2022)**

The RSDP provides research methods and generic skills training to complement the programme of doctoral research. Skills training forms an essential part of researcher development, and increasingly employers (both academic and non-academic) look for demonstrable evidence of training throughout the doctorate.

#### **Features of the programme:**

- a local research environment and peer group support for students, plus access into subject-related networks, provided by each research centre and school;
- disciplinary areas (arts and humanities, social sciences, sciences) provide research methods and research skills training across the breadth of each area, including in research theory, qualitative and quantitative methods, and good research practice;
- The generic training sessions address areas such as the doctoral process, employability, communication and networking skills
- in co-ordination with the Graduate School, academic support departments also provide specialist support in library, online resource and IT skills;
- supervisors have a role in all areas of research student development, including assisting students to seek out the most appropriate provision both internally and externally.

MPhil/PhD students must participate in the Research Development Programme and must complete all required components of this programme before submission of a thesis. Students on Professional Doctoral Programmes should consult their own regulations with regards to training requirements. Students will be required to attend a total of 22 training sessions throughout the course of their degree, with the majority of

these sessions to be attended in the first two years. On completion of the programme, students will receive a certificate to demonstrate their transferable skills training ahead of their post-doctoral employment.

To complete the programme, students must select training sessions from three areas, as well as attend the Induction in October or January. The programme requirements break down as follows:

<b>Group</b>	<b>Compulsory</b>
<b>A (Induction)</b>	All (2) sessions
<b>B1 (area-specific)</b>	3 sessions + Choose 8 sessions between B1+B2
<b>B2 (subject-specific)</b>	Choose 8 sessions between B1+B2
<b>C (generic skills training)</b>	Choose 9 sessions
<b>TOTAL</b>	Minimum 22 sessions, over lifespan of degree

Schools and Research Centres coordinate Group B1 and B2 training programmes, while the Graduate School coordinates the Induction and the Group C training programme. C and B1 sessions are open to all PGRs while B2 sessions are specifically for a department's PGRs. The programmes are advertised together on the Graduate School Moodle site.

Students may assess their own training needs, and supervisory teams should also provide guidance on which sessions to take. Training at Masters level or below will not usually count towards the certificate, although students can take these sessions if required. The exception is the relevant social research methods modules of the MA in Education, with students able to audit (attendance only) relevant modules and include these as B sessions.

**'Equivalent' training.** Students are permitted to count two externally-provided research training sessions per year towards the requirements of the RSDP with one half day's training equivalent to one session. These sessions should be at the doctoral level and fit with established national frameworks for research training (such as [the Vitae researcher framework](#)). These sessions should be included in the RSDP log and signed off by the Supervisor through the APR process.

Attendance at RSDP sessions should be recorded on the RSDP Log by the student, as detailed above. Graduate School can provide details of RSDP attendance when required.

## **RESEARCH STUDENT DEVELOPMENT PROGRAMME (RSDP) (for students registered after October 2022)**

The new RSDP proposes three potential avenues to help prepare PGRs to undertake employment in areas of Academic Life and Education, Research and Knowledge Exchange, or Innovation and Industry. The design of each pathway is structured to complement the student's progression from Y1 to Y3 (and PT equivalent) and increases in both coherence and complexity as the student transitions from novice to employable expert. The curricula sessions/units are mutually exclusive though the curricula is wide-ranging and can be adjusted for candidate experience and engagement with new concepts. The sessions will be delivered through 9 in-person workshops for each pathway, for each year cohort (3 - 4 hours each– up to 36 compulsory hours per PGR student).

### **Current Students**

Current students will be encouraged to follow the new pathway model but their RSDP credit requirement will remain the same (22 sessions). They should join the pathway appropriate to their own career aspirations at the appropriate level (year 2 or year 3). The new four-hour sessions will convert x 2 'old' RSDP sessions. Early in the new academic year, current students will be offered an online briefing to explain how the new RSDP will work and how they can fulfil their training requirements through it. The table below outlines how the new programme would be integrated into the old RSDP requirement for current students. Current students would also still be able to count external training towards their RSDP as before ( x 2 sessions p.a.).

**Student Visas: You will be expected to attend all RSDP sessions in person.**



		Academic Life & Education	Research & Knowledge Exchange	Innovation & Industry
Year 1	1	<b>Title</b> <b>Desc</b>  <b>P</b> <b>Guided vs Independent Learning</b> <i>Optimising user-experience on digital platforms (e.g., Moodle) to guide quality independent learning</i>	<b>Your Research Story</b> <i>Basic skills of curating a professional research narrative for use at conferences to wider audiences</i>	<b>Transitioning to Industry</b> <i>Transposing research skills into industry and toggling the balance between research skills in non-academic workforce</i>
	2	<b>Title</b> <b>Desc</b> <b>Student Engagement</b> <i>Maintaining student activity and momentum, fostering a sense of community and mentorship across cohorts</i>	<b>Building Your Network</b> <i>Steps for building a wider network of scholars (interdisciplinary &amp; internationally) to help with future research collaborations</i>	<b>Networking and Negotiation</b> <i>Different styles of networking to form partnerships with stakeholders for business enterprise as opposed to networking to promote research</i>
	3	<b>Title</b> <b>Desc</b> <b>Growing through Feedback</b> <i>Strategies for providing effective feedback to students and colleagues through peer observation and investigating different modes of facilitating feedback</i>	<b>Communicating Research</b> <i>Using a series of social media methods and non-research outlets (e.g., blogs, the Conversation series) to share research topics and interest</i>	<b>From Expertise to Impact</b> <i>Decentralising the academic expertise to understand the wider role of impact across the industry</i>
Year 2	4	<b>Title</b> <b>Desc</b>  <b>P</b> <b>Equality, Diversity, Inclusion</b> <i>Accessing and interpreting wider policy agendas on HE ideals to promote equality, address the widening participation agenda and anticipate how experiences can contribute to wider teaching agendas</i>	<b>Writing and Editing</b> <i>Differentiating between writing and editing and devising personal editorial sheets to assist with making the process more efficient</i>	<b>Business Models and Commercialism</b> <i>Working across different sectors (NGOs, third party, private and public enterprises) and the important policies that inform industry</i>
	5	<b>Title</b> <b>Desc</b> <b>Lesson Planning and Hybrid Delivery</b> <i>Differnt platforms for bespoke delivery, interactivity, digital embedded, live polling, good slide hygiene and presentation etiquette</i>	<b>Expressing Digital Methodologies</b> <i>How to produce research informed teaching involving co-design/ co-production of knowledge which leads to better student outcomes and the tracking of research impact</i>	<b>Being Digital / Technology in Business</b> <i>Becoming familiar with skills and strategies to maintain technological savviness in the workspace</i>
	6	<b>Title</b> <b>Desc</b> <b>Professional Development</b> <i>Exploring ongoing commitments with external stakeholders who promote on-going CPS, considering ways of growing your professional skills through teaching</i>	<b>Researcher Professionalism</b> <i>Understanding the HE frameworks (e.g., VITAE) to set the values and principles to guide research professional when working in the UK</i>	<b>What exactly is Work Experience?</b> <i>Understand the work and organisational culture including the soft skills which become the foundation for professionalism</i>
Year 3	7	<b>Title</b> <b>Desc</b>  <b>P</b> <b>Managing Assessments</b> <i>Exploring different types of assessments and how these can be best paired to assess module content</i>	<b>Optimising Research Habits</b> <i>Striking the balance between PhD and other commitments, learning how to customise better time management towards your goals and managing periods of unproductivity</i>	<b>Humanising your Research</b> <i>Familiarising oneself with economic, social and political agendas that are centralised on industry and the roles professionals play in this global identity</i>
	8	<b>Title</b> <b>Desc</b> <b>Quality Assurance</b> <i>Towards HEA fellowship and includes HE curriculum development and understanding QA accreditation procedures and external examination</i>	<b>Research Funding Basics</b> <i>A taster session featuring key insights into the world of grant funding and research bidding in terms of how to identify early on fundable components of research</i>	<b>Project Management and Operations</b> <i>Poaching skills from research management into business/project management and how these might be refitted into non-research workspaces</i>
	9	<b>Title</b> <b>Desc</b> <b>Towards Leadership</b> <i>Examining subsequent pathways through teaching that can help enhance and develop teaching guidance and programme planning in HE by creation of new academic learning routes and courses</i>	<b>Understanding Research Evaluation</b> <i>Engaging with the wider HE agenda to understand the REF and the criteria association with research excellence</i>	<b>Leadership through Collaboration</b> <i>Differentiating leadership skills from that of working with research peers to evolving leadership skills needed working with teams in industry</i>



Group	Compulsory	New programme
A (Induction)	All (2) sessions	As before
B1 (area-specific)	3 sessions + Choose 8 sessions between B1+B2	RKE Centre Sessions
B2 (subject-specific)	Choose 8 sessions between B1+B2	RSDP pathway (1 session = x 2 'old' RSDP)
C (generic skills training)	Choose 9 sessions	As before via online programme
TOTAL	Minimum 22 sessions, over lifespan of degree	As before

## Facilities

### Study Space

In many cases, students will be allocated or informed of desk space in their School. These spaces may be shared and available on a first-come-first-served basis. Desks may not be reserved and must be cleared when not in use. All spaces should be respected as quiet spaces for work.

### Research Student Printing and Copying Facilities

Schools cover the cost of their research students' printing, copying and scanning on campus.

### Research Student Development Fund

Each research student has access to up to £600 to use towards payment for specified research activities: travel to conferences, conference expenses, travel for research, supplies/equipment (NOT including books or computer hardware), training, or data/software access expenses. Students should complete a [Research Student Development Fund application form](#) (located on the Graduate School Moodle site), and obtain supervisor(s) approval, in advance of the event. Full guidance is provided on the form. Expenditure is claimed in arrears via an expense claim submitted to the departmental accountant. If students are planning to travel overseas, they must also complete the [Authorisation to Travel Overseas \(ATO\)](#) form (located on the Graduate School Moodle site) and submit this to [finance@roehampton.ac.uk](mailto:finance@roehampton.ac.uk). The student should also check FCO travel advice for the intended destination, and seek guidance from the Graduate School if necessary.

Research students are also advised to check regularly for announcements regarding additional research funds available on a competitive basis through University

partnerships. A list of external funding opportunities to support postgraduate research is also available on the Graduate School Moodle site [and research students are advised to sign up to Research Professional to receive tailored funding alerts.](#)

**Important:** International students on a study visa must also copy the ATO form to the Immigration Office and the Graduate School. In addition, they must complete the Overseas Fieldwork Form, available on the Graduate School Moodle site.

**Important:** UKRI funded (TECHNE/SeNSS) students - the £600 allocation is included within their training funding allocation, not in addition to it. Please contact [Techne@roehampton.ac.uk](mailto:Techne@roehampton.ac.uk) for further information.

## 6. SUBMISSION OF THESIS AND FINAL EXAMINATION

### Entry for the Final Examination

Prior to the submission of the thesis, a student must submit an Examination Entry Form to be considered by the Research Degrees Committee.

Examiners and viva chairs are nominated and appointed at the same time (see Section 16 of the Research Degree Regulations – Section 23 for students registered after October 2019). CVs for each proposed examiner must be submitted with Examination Entry Forms, accompanied by the External Examiner eligibility form, to ensure timely consideration by Graduate School. Schools should ensure that the CVs submitted by proposed examiners include details of all examining experience at the doctoral level, including, where appropriate, experience of examining professional doctorates. At the point of nomination, the supervisory team should consider relevant criteria for the proposed examiners including potential conflict of interest issues. The supervisors should also nominate a provisional date for the viva, through consultation with the examiners, chair and candidate.

The Examination Entry Form records the title of the student's final submission, which cannot then be changed except with the agreement of the Chair of the Research Degrees Committee.

The timely submission of Examination Entry Forms is crucial to the smooth processing of organising all aspects of examination.

The requirements of the final submission, including rules on length, content and written language, are set out in Section 18 of the Research Degree Regulations, (Section 20 of the regulations for students registered from Oct 19) or in the individual regulations for Professional Doctorates. There are also rules on the presentation of the final thesis outlined below and on the [Graduate School Moodle site](#). Students will agree a suitable length, structure, format and presentation for their final submission with their supervisors, working within the University's requirements and taking into account the subject area and nature of the thesis. The University expects that theses will normally only be submitted in electronic copy, unless the student has requested and had approved an on-campus viva.

### Thesis Presentation Guidance

**At present, all theses submissions are in electronic format only. This guidance relating to the formatting of hard copy theses will only apply where students have indicated and had approved a request for an on-campus viva.**

The text should be written in English (unless the University's Research Degrees Committee has given permission for another language to be used owing to the nature of the subject). Other regulatory requirements on the presentation of theses are listed below:

- Paper should be A4, white and within the range of 70g/m<sup>2</sup> to 100g/m<sup>2</sup>.
- There should be a margin of 40mm (1½ inches) on the left hand side of the pages to allow for the binding. Other margins should not be less than 15mm. Running heads and page numbers should be within the recommended margins.
- The height of the characters used in the main text, including displayed matter and notes, should not be less than 2.0mm for capital letters and 1.5mm for a lower case x. Times New Roman 12-point and Arial 10-point are two examples of an acceptable font.
- The text should be double-spaced.
- All pages should be numbered sequentially.
- Where ethical approval has been required, the following wording should be included either on a stand-alone page, or in an appendix: “The research for this project was submitted for ethics consideration under the reference.....in the School of....and was approved under the procedures of the University of Roehampton’s Ethics Committee on.....”. A sample Consent Form should be included in the Appendices.
- The thesis may be printed single-sided (right-hand pages only) or double-sided.

## **Order of Thesis**

A candidate's thesis should follow the order:

- i. Title page (example below) - this should contain:
  - a. the approved thesis title as stated on the candidate's Examination Entry Form,
  - b. the candidate's name and qualifications,
  - c. a statement of the degree for which the thesis is being submitted,
  - d. the name of the candidate's School,
  - e. the name of the University, and
  - f. the year of submission for examination.

**Title of Thesis by  
A N Other BA, MA  
A thesis submitted in partial fulfilment of the requirements for the  
degree of PhD School of Humanities and Social Sciences  
University of Roehampton  
Year**

- ii. The abstract - this should be a summary of the content of the candidate's thesis and should not be longer than 300 words
- iii. Contents page - this should be a listing of the contents of the candidate's thesis, giving page numbers for each section and chapter, and is best presented in tabulated form
- iv. Acknowledgements - this is where a candidate has the

- opportunity to thank the people and organisations who have assisted in her/his work
- v. The main body of the thesis will normally follow the format - Introduction, Chapters, Conclusion
  - vi. Appendices (if needed)
  - vii. Bibliography - this should be a complete listing of all of the relevant works the candidate has consulted and should demonstrate critical judgement in the selection of the material included.

It is preferable to bind theses as one volume, but some candidates like to present their appendices as a separate volume especially if they are of substantial size.

Examiners will take account of presentation and accuracy when considering theses. Candidates should, therefore, make sure that their thesis presents a logical progression of argument, which is clear and consistent in the style of its presentation, that tables and illustrations are clearly labelled, and that the bibliographical references are clear.

### **Submission of Thesis**

Students may submit provided that they have completed their minimum period of registration, are within their maximum registration period, have registered for the academic year and have paid any tuition fees that are due.

Students undergoing an on-campus viva are required to submit three hard copies and one electronic copy of your thesis (unless advised otherwise), along with a Candidate's Declaration form (form RDCom10) to the Graduate School Office. You can submit this in person or by secured post (e.g. recorded delivery). If your thesis is being delivered to the University by a third party please use the following details:

Graduate School  
University of Roehampton  
Roehampton Lane  
London  
SW15 5PH

Students may also submit a [Covid-19 thesis impact statement](#) if they wish to outline to their examiners how their thesis and research has been affected by the pandemic. This statement is optional and is to provide contextual information only.

The electronic thesis should be submitted to [graduateschool@roehampton.ac.uk](mailto:graduateschool@roehampton.ac.uk) at the same time. In addition to completing the RDCom10 (Candidate's Declaration Form), students will also need to submit their thesis via the Turnitin dropbox on the Graduate School moodle site and download the originality report to include with their RDCom10. This is to ensure they have referenced all sources and quotations within their thesis appropriately. The originality report will also be made available to the examiners. If the student returns a similarity percentage of higher than 20%, they should work with supervisors to address any issues raised by the report before submitting their thesis. If the student has requested an on-campus viva which has been

approved then three copies of the thesis should be temporarily bound (e.g. spiral, comb or thermal bound) and submitted to the Graduate School. Subsidiary papers and other material forming part of the thesis (including tapes or videos) should be bound into the final thesis if it is possible or securely attached to the thesis and clearly labelled with the candidate's name. There are facilities for binding theses in the library.

Students will receive an e-receipt (via email) to confirm submission from the Graduate School and the Graduate School will initiate the Examination arrangements (see below). Allegations of academic misconduct will be investigated in accordance with the Student Disciplinary Regulations (see section 18 of the Research Degree Regulations and 20 for those registered after October 2019).

Students who have submitted, up to the point of completing any corrections or resubmission requirements of their examiners, remain registered students, with access to supervisory support and University resources and facilities. Students who have submitted should be offered the opportunity to undergo a mock oral examination. It is the responsibility of the Director of Studies to arrange this.

## **Examination**

The Graduate School will contact the examiners and the candidate to confirm the date for the oral examination. Examinations will generally not take place during the month of August due to the availability of academic staff.

The Chair of the Research Degrees Committee, following the recommendation of the Director of Studies, will have appointed a viva chair to facilitate the running of the oral examination and to advise the examiners as needed on University regulations, policies and procedures. The viva chair will be a member of academic staff who is not a member of the supervisory team with experience of acting as a Director of Studies. They will not be involved in assessing the student, but will take part in the oral examination and in private meetings of the examiners in order to ensure that the examination is conducted fairly and in accordance with University guidelines. The viva chair will also advise the examiners on any reasonable adjustments to be made to the conduct of the final examination in the light of information about a disability or other impairment which has been disclosed in advance by the student. Viva chairs are expected to seek training and advice from the Graduate School if they have not chaired a viva before.

The student may invite one or more members of the supervisory team to observe the oral examination, and should advise the Graduate School in advance of their planned attendance. Members of the supervisory team will not be involved in assessing the student, or take part in the oral examination, or observe private meetings of the examiners.

Following the oral examination the examiners make a joint recommendation on the outcome to the Research Degrees Committee, and the student is informed. In many cases they also give provisional feedback to the student at the end of the oral examination. The list of possible outcomes is set out in Sections 22 and 23 of the

Research Degree Regulations (24 and 25 for students registered after October 19), or in the individual regulations for Professional Doctorates.

### **Corrections and Resubmissions**

The outcome of the examination may require corrections to the thesis or a resubmission of the work. Where corrections are required, the student must submit the corrected work within three months. In the case of MPhil/PhD theses, where corrections of substance are required, the student must submit the corrected work within six months. One or more of the examiners will be asked to verify that the corrections are satisfactory. No additional fees are payable by the student where corrections are required. If more significant revisions are needed, the candidate will be required to re-enrol and to resubmit work for assessment within 18 months. The resubmission will be examined by the same examiners as the first submission, with or without a further oral examination. Students who are required to resubmit must pay the relevant examination fee.

During the correction or resubmission period, students retain their registered status at the university and are permitted access to the library and other facilities as required.

Following the viva, if corrections or resubmission are required for an award, students will have the opportunity of a meeting with their supervisors to discuss the examiners' joint report and the amendments required. Regular contact should be maintained by the student with their supervisors during the period available for corrections or resubmission. The frequency of any meetings will vary according to the nature of the amendments required, but will not exceed normal supervisory arrangements prior to submission. If a supervisor is no longer able to provide supervision, and the Dean of School decides that the remaining supervisory team is not able to provide adequate support, the School should arrange for a replacement supervisor to be appointed. However, a full supervisory team, as required prior to first submission, may not be necessary.

Supervisors are expected to read and comment on amended sections of the thesis or body of work prior to submission if requested, but they are not expected to read multiple redrafts. The examiners do not provide supervisory support or guidance following the viva. If necessary, immediately following formal notification of the outcome of the examination to the candidate and supervisors, the examiners may be asked, through the Graduate School, to provide clarification about the amendments required.

A student may apply to the Research Degrees Committee for an extension to the deadline for making corrections to the submission or for resubmitting work for assessment on grounds of mitigating circumstances or other significant and acceptable cause. The application should be submitted in writing in advance of the deadline using the research student mitigating circumstances form and should include supporting evidence where appropriate. If a student does not meet the deadline and has not applied for and been granted an extension, their registration on the programme may be terminated.

**Student Visas:** If your viva has taken place, you have been given corrections, and



your visa is going to expire during that period, then you may need to request support for a further visa.

Please send your Viva outcome letter to the Immigration team as confirmation of engagement with your studies and confirmation that you will be physically studying at the University during your corrections period.

### **Award of the Degree and Conferment**

Once the examiners agree that all necessary corrections have been completed and an award should be given, the Graduate School will write to the student to inform them and the Director of the Graduate School then ratifies the award. The student will then be required to submit a final electronic copy of the thesis to the Graduate School, for the Online Repository. Once this has been received, the student will be sent their award letter of completion and their details passed to the Graduation Team to be invited to the next ceremony (in July and January each year).

### **Publication of your Final Thesis online: Copyright Guidance**

The final thesis will be published online on our repository, PURE. An eThesis is as close as possible in appearance and layout to the printed version, but may have some elements removed for copyright reasons. eTheses are accessed many more times by readers around the world than the printed thesis, benefiting the author's professional career and recognition.

Students who request that their thesis is embargoed for a period of time should note:

- Material of a confidential or sensitive nature with the potential to infringe the rights of any third party is inadmissible in the final examination for a Research Degree and cannot therefore be used as grounds to restrict access to the final submission.
- Students funded by UKRI research councils (e.g. AHRC/TECHNE) normally may only embargo their thesis for up to 12 months. Requests for longer embargo periods must be justified in writing below and formally approved by the Graduate School
- Restriction of access to the electronic version only of the final submission for a period of more than 24 months must be formally requested and approved by the Chair of Research Degrees Committee.

Many UK and major international universities have mandates for theses similar to Roehampton. As well as being deposited into the Roehampton Research Explorer, the eThesis will also go into the British Library's EThOS national online thesis repository.

The thesis may include material by other authors (generally referred to as third party material). This material will be copyrighted. An author automatically has copyright over anything they write or otherwise create; under UK law they do not need to apply for it or mark their work with the © mark for it to apply. Ideas and facts are not copyrighted, although presentation of ideas and facts may be.

In addition to content copyright, the format or layout (typography) of an item may have rights associated with it. Images within a work, e.g. book illustrations, may also have



copyright over and above that embodied by the book as a whole. Finally, authors can assign parts of their copyright to someone else, for example, a publisher. Therefore, any individual or corporate entity that currently holds the rights to exploit and reuse an item is known as the rights holder.

Students are advised to consult the full copyright guidance, available on the university webpage, for detailed information about obtaining copyright permissions ahead of submitting their eThesis. <https://library.roehampton.ac.uk/academicstaff/copyright>

## **Graduation**

After the submission of the final electronic copy of the thesis, and receipt of a formal letter of ratification from the Graduate School, students will automatically be added to the list for invitation to the graduation ceremony, which takes place in July or February. In late June/December, students will be invited to register for Graduation via a web link provided by the Graduation Team (this team is not part of the Graduate School). Queries regarding the ceremony should be directed to the Graduation Team, [Graduation@roehampton.ac.uk](mailto:Graduation@roehampton.ac.uk)

## Appendix 1: FORMS & TIMEFRAMES

In-Course Forms, Examination Entry Forms and Progress Report forms are all available on the Graduate School website pages here:

[www.roehampton.ac.uk/graduate-school/current-students/](http://www.roehampton.ac.uk/graduate-school/current-students/)

The School Research Degree Administrator will email the student directly to confirm the outcome of the application in the case of the following applications for which RSRBs have delegated authority:

- *Project Confirmation (RDCom2)*
- *Upgrade/Progression Review (RDCom3)*
- *Completion Review (RDCom 11)*
- *Application for Change to Approved Supervisory Arrangements (RDCom7).*

All other applications are recommended to the Research Degree Committee for approval. These will be forwarded from the student and supervisory team to the Graduate School. The student should expect to hear the final outcome from the Research Degrees Committee within one month:

- *Application to Transfer from Doctoral Status to MPhil (RDCom3A)*
- *Application for Change in Mode of Study (RDCom4)*
- *Application for a Temporary Interruption to Registration (RDCom5)*
- *Application for an Extension to Maximum Period of Registration (RDCom6)*

Exam entry forms are formally approved by Research Degrees Committee but should be submitted to the departmental RSRB for scrutiny first.

The timeframes referred to above are appropriate at any point in the academic year.

These forms can be accessed from the external website or the Graduate School Moodle site. As they change regularly students should always download forms directly rather than using a copy passed on or previously saved.

## **Appendix 2: STAFF ROLES & RESPONSIBILITIES FOR RESEARCH STUDENTS**

Key information for students is given under the Governance section in the main body of the Handbook. This appendix gives more detail about key roles and who students should speak to about particular issues.

### **Dean of School**

The Dean of School has overall responsibility for the welfare and academic progress of research students in their academic school and for ensuring that the school complies with University rules, policies and codes of practice that relate to postgraduate research.

Within this overall remit the specific responsibilities of the Dean of school are:

- to appoint a Research Degrees Convener, and to establish and oversee a Research Student Review Board for the school, in agreement with the Director of the Graduate School;
- to appoint a RSDP Co-ordinator for the disciplinary area in collaboration with other Dean of School and in consultation with the Director of the Graduate School;
- to maintain an appropriate research environment for students within the School, including research development activities that are co-ordinated with the University's Research Student Development Programme;
- to approve the admission of students onto research degree programmes (note: admissions to the PhD on the basis of published works and applications for exemption from part of a research degree programme must be approved by the Chair of the Research Degrees Committee);
- to ensure that appropriate supervisory arrangements are made for each student, and that supervisors participate in appropriate professional development;
- to authorise recommendations from the School to the Research Degrees Committee.

### **Research Degrees Convener**

Each academic School has a Research Degrees Convener (RDC) whose role is to co-ordinate the arrangements for research students on behalf of the Dean of School.

The Research Degrees Convener is appointed by the Dean of School in agreement with the Director of the Graduate School and must normally be a qualified Director of Studies with substantial experience of research student supervision. They should also have experience of serving on a Research Student Review Board (RSRB).

Within this overall remit the specific responsibilities of the Research Degrees Convener are:

- to chair the departmental Research Student Review Board and to take

responsibility for items relating to research degree programmes at the School Committee;

- to represent the School on the Research Degrees Committee and Supervisors' Forum, and to communicate business from RDCom to their School RSRB;
- to co-ordinate School arrangements for the admission, induction, monitoring and examination entry of research students and to ensure that appropriate records are maintained;
- to ensure that research students participate in appropriate research development activities;
- to ensure that supervisors participate in appropriate professional development;
- to encourage and support the integration of research students into the research environment of the School and the University;
- to carry out any other duties relating to research degree programmes as required by the Dean of School or Director of the Graduate School.

## **SUPERVISORY TEAM**

Details of role responsibilities are included in the main body of the Handbook. Schools should also note that supervisors must observe the University's Code of Practice on Research Student Supervisory Load.

Either the Director of Studies or the Co-Supervisor may be the main academic supervisor of the student, or they may contribute equally. Agreement should be reached when a supervisory team is proposed about the roles and proportion of the supervisory load to be taken by each member of the team. The proportion undertaken by an individual supervisor may range between 10 to 90% of the total supervisory load. The weighting of supervisory responsibility is reported annually as part of the Annual Progress Review. Reported data feeds into workload planning.

### **Director of Studies**

The criteria for the appointment of a Director of Studies are set out in Section 11 of the Research Degree Regulations. Appointment as Director of Studies ceases if the individual ceases to hold a suitable appointment at the University, although it may be desirable in appropriate circumstances for them to remain as a Co-Supervisor on the supervisory team in which case a new Director of Studies will be appointed.

Role:

- seeing that administrative aspects of supervision are actioned effectively (for example that milestone paperwork is completed);
- ensuring that the candidate's progress is monitored annually;
- clarifying and agreeing the boundaries, relative responsibilities,

and lines and means of communication between the student and individual members of the supervisory team;

- providing the student with guidance about the nature of research, about planning the programme of research realistically, about the availability of and access to literature and other sources of information, and about the difficulties associated with academic misconduct;
- discussing, agreeing and recording with the student at an early stage a programme of support and training relevant to the particular needs of the student and the area of research;
- advising on the financial viability of the research project;
- ensuring that the student is aware of their responsibilities towards health and safety both in general and in relation to the department and the programme of research;
- ensuring that, in circumstances where the student's research is linked with a research contract, the academic interests of the student and their programme of research are safeguarded;
- providing a point of reference for the student on welfare issues.

## **Co-Supervisor**

The criteria for the appointment of Co-Supervisors are set out in Section 10 of the Research Degree Regulations.

The Co-Supervisor brings appropriate, additional expertise, and meets with the student and Director of Studies when supervisory sessions are arranged.

Exceptionally the Dean of School may seek approval from the Research Degrees Committee to appoint a Co-Supervisor from outside the University in order to bring additional expertise to the supervisory team or to address the needs of a funded project. Details should be provided through an RDCom7 form, including the CV of the proposed external supervisor. This is more common when students conduct part of their research outside of the UK. External supervisors will not normally be responsible for more than 40% of supervision and the weighting of supervision records should indicate the proportion that has been agreed with the Dean of School.

## **Models for supervisory team**

One of the team may act as the main academic supervisor of the student, or they may contribute equally. There are a number of possible models for the composition of the supervisory team and the following are three examples.

- The Director of Studies manages the student's programme of research, has specialist knowledge closely related to the student's research topic and is the main supervisor. The Co-Supervisor (who may or may not be a qualified Director of Studies) is an active researcher in an area relevant to the student's field of research who contributes more broadly to the student's programme of research.

- The Director of Studies manages the student's programme of research and mentors the Co-Supervisor, who is not yet qualified as a Director of Studies but has specialist knowledge closely related to the student's research topic. The Co-Supervisor is the main supervisor.
- The Director of Studies manages the student's programme of research. Both the Director of Studies and the Co-Supervisor (who may or may not be a qualified Director of Studies) have specialist knowledge closely related to the student's research topic and agree between them the sharing of the topic-related supervision.

### **Research student responsibilities**

Research students are responsible for:

- Fulfilling the requirements of their doctoral programme (MPhil, PhD, PhD by published works, PsychD, EdD, DTh), including the requirements of any milestones or formal progress reviews;
- Making use of the supervision offered by the University by keeping in regular contact with their supervisors, attending agreed scheduled supervisions and assisting in the recording of supervisions;
- Engaging with available research training whether offered internally or externally and participating in the Research Student Development Programme;
- Working as a professional, independent researcher, responsible for the development of their own research;
- Demonstrating and maintaining research integrity and appropriate ethical standards in research, including taking responsibility for the presentation and referencing of their scholarly work;
- Informing their supervisors and other appropriate staff of any issues or problems that may affect their studies;
- When relevant, observing the terms of any agreement with another university, company or other allied institution supporting their programme of research;
- Submitting a thesis comprising an account of the candidate's own work/research within their period of registration;
- Taking responsibility for the quality of the thesis submitted for assessment, accepting that the guidance and feedback provided by their supervisors does not guarantee success in the assessment.

## **Responsibilities of the Supervisory Team**

Once supervisory arrangements have been confirmed, the supervisory team should meet as soon as possible to discuss the responsibilities outlined below and determine how each should be fulfilled.

- Supporting the research student in developing their familiarity with the subject area, available resources at the University, relevant procedures and introducing them to fellow researchers (whether staff or research students);
- Agreeing with the candidate the frequency and format of supervision meetings, and, after each meeting, agreeing with the research student the record of those meetings;
- Providing feedback on written work produced by the student;
- Assisting the candidate in developing a realistic timetable for their research, including advising the candidate on the completion of relevant doctoral milestones;
- Advising the candidate about relevant research training suitable to their project and the candidate's professional development. Confirming the appropriateness of external training and assisting the candidate in identifying training opportunities;
- Advising the candidate on research ethics and integrity, including providing initial feedback on ethics applications;
- Assisting the candidate in preparing for the viva voce examination, for example, through arranging a 'mock viva';
- Signposting the candidate to appropriate sources of additional advice and support;
- Supporting and encouraging the postgraduate research in becoming an active member of their research community, for example through advising on funding applications or conference submissions.

## **The research student-supervisor relationship**

Productive research student-supervisor working relationships are founded on trust, fairness and mutual respect. All parties can contribute to a successful working relationship by committing to behaving in a civil, conscientious and responsible manner. [The University's Dignity and Respect policy](#) promotes a positive working and learning environment, to which all staff and research students should contribute.

Research students and supervisors are advised to discuss at the beginning of the programme of research their expectations in terms of the conduct and frequency of supervisions, bearing in mind the general expectations set out above and the University's minimum attendance requirements.



## **Resolving issues between PGRs and supervisors**

In the first instance, research students/supervisors are encouraged to discuss and resolve issues as a team. If this is not possible and/or this approach does not resolve the issue, the research students/supervisors may approach the departmental Research Degrees Convenor for assistance. Staff and research students should respect confidentiality and disclosures should be limited as far as possible to those individuals involved in the resolution of the issues.

If it is not possible to resolve issues locally, then parties may wish to involve the Graduate School for advice and support. As a last resort, the research student and supervisors concerned are advised to consider external mediation. School Human Resources contacts will be able to put parties in touch with a professional mediator. During mediation, interim arrangements should be considered to mitigate any adverse impact on the research student's project.

Mediation is a voluntary process and if all parties cannot agree to enter into mediation, consideration should be given to submitting a formal complaint through the [University's established complaints procedure](#).

## Appendix 3: APPLICATION PROCESS

Key information for applicants is set out on the Graduate School website pages here [www.roehampton.ac.uk/graduate-school/degrees/](http://www.roehampton.ac.uk/graduate-school/degrees/). The information below is primarily for prospective Supervisors and departmental administrators.

### New Applicants

All applications from potential research students must be submitted to the Graduate School through the Online Application Portal. This should follow informal discussion with potential supervisors or Research Degrees Convenor in a school. Prospective students should submit an application only if encouraged to do so following this informal discussion. The Graduate School will review non-academic criteria and pass applications to schools for consideration. Incomplete applications may not be processed. Schools will review each application and decide whether to invite the applicant to an interview. Schools are responsible for arranging interviews. The interview panel must be chaired by a member of academic staff independent of the prospective supervisory team, either the Dean of School or their nominee, most commonly the Research Degrees Convenor or Professional Doctorate convenor. Normally the prospective supervisors are part of the interview panel, which comprises a minimum of two, and normally three, members in total.

At the interview, the panel will consider the candidate's performance against appropriate criteria, including the following, as the basis for making an offer:

- Does the candidate meet the relevant Research Degree entrance requirements?
- Is the research proposal coherent, feasible and will it contribute to knowledge in the field?
- Does the candidate demonstrate familiarity with the disciplinary field (e.g. awareness of research methodologies)?
- Is the candidate prepared to make an active contribution to the research environment of the School?
- Will the student be able to afford the necessary time and financial commitments?
- Are the necessary resources in place within the School for the project (supervisors, facilities etc)?

Following an interview, or a decision not to interview, the School shall communicate the outcome to the Graduate School via the appropriate decision form: approving an offer (RDCom1b), or rejecting the application (RDCom1c). Offers of places at the University may only be made by the Graduate School.

If an offer is to be made, the Dean of School is required to monitor supervisory capacity, and to counter-sign the offer to indicate in principle approval.

For the PhD by Published Works, or also in cases where the normal minimum

qualifications for entry are not met, the final decision to approve an offer will be taken by the Chair of the Research Degrees Committee. In other cases, the Dean of School takes this decision and in doing so confirms that the school is able to provide appropriate supervisory support for the applicant.

### **Transferring from another Institution**

In some instances, an applicant may wish to transfer their registration from another institution to the University of Roehampton. Following informal discussion with the appropriate academic School, the applicant should submit a Transfer Application to Register for a Higher Degree by Research (form RDCOM1d) to the Graduate School. If the school decide to interview (following the same procedures as for new applicants, above), the progress to date of the applicant, and the reasons why they wish to transfer should be carefully examined, including calling for information from the previous supervisor(s) and institution, as well as considering written work such as the research proposal and draft chapter(s). Following interview, if the School wishes to recommend that an offer is made, the final decision will be taken by the Chair of the Research Degrees Committee. Based on information from the School and the applicant's previous institution, the Chair of the Research Degrees Committee will also determine what doctoral milestones will need to be met, and what period of registration the student has already completed. This will form the basis of the calculation of the remaining maximum period of registration and the remaining period of registration required before eligibility for transfer to writing-up status. All transfer students will be required to be registered and to pay fees for at least one academic year.

### **English Language Requirements for Research Students**

For admission to an MPhil/PhD programme, non-native speakers of English are required to demonstrate English Language proficiency to the following levels on one of the following accepted tests: IELTS 7.0 with a minimum of 6.5 in all categories (or equivalent). An overall IELTS score of 7.5 with a minimum of 7.0 in each component (or equivalent) is required for the following programmes: PhD English Literature, PhD Children's Literature, PhD Creative Writing, PhD English Language and PhD Linguistics.

Applicants who have completed a masters-level qualification or higher in the medium of English in Australia, Canada, Ireland, New Zealand, UK and USA may not be required to demonstrate proficiency in the above tests.

### **Visiting Research Students**

See <https://www.roehampton.ac.uk/graduate-school/degrees/>

The Graduate School welcomes applications from PhD students in the UK and abroad, who may wish to spend some time at the University of Roehampton. Applicants should make initial contact with an academic School to discuss their current research project and find a suitable member of academic staff to support their visit, before making an application.

## **Appendix 4: SUPERVISION MONITORING POLICY**

**The policy and process for recording supervisions, attendance at upgrade and progression review panels and APR meetings applies to all research students.**

Recording student engagement is critical to ensure students are appropriately supported and to meeting the reporting requirements of major university stakeholders, such as funders or the UK Government.

Along with the rest of the University, the Graduate School is required to ensure that registered international study visa students attend and engage regularly with their programme. This section sets out the procedures and process for evidencing this engagement.

All research students on a study visa should register within 2 weeks of their start date. If a research student on a study visa fails to register in this period, they will be recorded as a 'non-enrolment' and the UKVI will be notified.

All students on study visas attend 1 ID check with the Immigration team at Induction.

In addition to the ID check, research students on study visas will be required to attend 8 supervisions per year, a minimum of 3 RSDP in-person sessions per year and attend induction and RDCom3 progression review in person.

### **Records to be kept at School level**

Supervisors must ensure they keep a record of supervision sessions, whether one to one, or group sessions, with their students. The supervision record should be completed on the University's Attendance Monitoring System (SEAtS) after each supervision meeting so that the Graduate School and UKVI Compliance Team can access these records as evidence in the event of a UKVI institutional audit. The record must be completed as soon as the supervision took place and no later than one week after the meeting. The supervision record should be agreed by students and supervisors adding an electronic signature to the meeting record. The supervision record should include:

- Meeting location;
- Brief summary of what was discussed;
- Who was in attendance;
- Confirmation that the meeting took place in person (or reason why it was online, e.g. student completing fieldwork).

Guidance on how to create the supervision record and in using the SEAtS system can be found here. [SEAtS guidance document](#)

Attendance at Upgrade/Progression Review panels, APR meetings and any School events can also be used as evidence, but must be properly recorded via SEAtS and signed off.

Research students who are in their writing up period, preparing for their viva or working on corrections are still expected to have contact with their supervisor to enable the University to meet their UKVI requirements.

These meetings must be recorded on SEAtS. E-mail exchanges/discussions do not represent satisfactory engagement points.

Process for recording supervision, attendance at Upgrade/Progression Review and APR/contact points with Tier 4 students on fieldwork outside of the UK (the term supervision used in the following outline covers all of these contact points)

- Student attends supervision session, Upgrade or APR
- Supervision record is completed by student and supervisory team on SEAtS
- E-signatures used to sign off on the session

## **Fieldwork outside the UK**

It is important that a supervisor reminds their students of the need to contact the UKVI team should they need to leave the UK for any period including for any fieldwork, so that it can be recorded and reported to UKVI.

The Sponsored Student Overseas Form (found on the graduate school moodle site) needs to be completed and sent onto [immigration@roehampton.ac.uk](mailto:immigration@roehampton.ac.uk) **at least** 10 working days before your intended departure. Students on study visas need to maintain contact with their supervisor during any periods of fieldwork by telephone, e-mail, Skype or a combination. Auditable records must also be kept and added to SEAtS. Full supervisory contact must be maintained during your time overseas.

If for any reason it is not possible to maintain contact at an appropriate level during a period of study outside the UK, the UKVI Compliance team will notify the UKVI of a withdrawal of sponsorship of their visa and a new CAS will be issued when the student is ready to return to the UK. It is not recommended that students on a visa undertake trips where it will not be possible to maintain contact with their supervisory team, as there is always the risk that a new visa application could be rejected when the student is ready to return.

## **Failure to attend and engage**

The following steps should be taken if a student on a study visa fails to attend scheduled supervision sessions:

- Step 1. Supervisor to contact student within 7 days to request an explanation for missed session and arrange a second session.
- Step 2. Where no response is received within 7 days, Supervisor makes a further attempt to contact the student in writing (by e-mail) explaining that he/she must make contact and attend supervision. Student will also be warned that if they do not respond to this communication they will be at risk of programme termination.
- Step 3. Where no response is received and where there has been no contact for **4 weeks**, the student will be contacted by the Graduate School. The Graduate School will also alert the UKVI compliance team. The UKVI Compliance team will contact the student and invite them in for a mandatory

meeting seeking a reasonable justification for their lack of attendance.

Step 4. Where no response is received and the student fails to attend the meeting with the UKVI Compliance Team, the student will be sent a withdrawal of sponsorship e-mail. Student will be given 7 days to provide any additional exceptional evidence to be considered by the UKVI Compliance Manager. If no response is received, student will be programme terminated and reported to UKVI.

Supervisions will be monitored by the Graduate School via SEAtS and supervisory teams will be notified of which students have low number of supervisions. Supervisory teams should also monitor the academic progress of students on study visas as well as their attendance. Concerns about progress should be raised with the Graduate School and the Cause for Concern process (see Research Degree Regulations) initiated if necessary.

## **Appendix 5: PARENTAL LEAVE POLICY**

### **University of Roehampton**

#### **Policy Regarding Research Student Parental Leave**

##### **1. Purpose and Scope**

The purpose of this policy is to set out the specific rights of postgraduate research students at the University of Roehampton to maternity, paternity and adoption leave, and the procedures to be followed by students and staff. This policy should be read and used alongside the University of Roehampton procedures regarding student pregnancy, maternity, paternity and infant care: <https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/pregnancy-and-maternity-policy-august-2018.pdf>

##### **2. Rights**

Research students are entitled to take up to 12 months maternity, paternity, shared parental or adoption leave (referred to below as 'leave'). Students should specify the dates of their leave on *Application for Parental Leave* form (see below).

There is no limit on the number of periods of leave that can be taken. Any period of leave longer than 12 months will require a new application.

Students on leave continue to be registered on their programme of study, but are not entitled to receive supervision or to use University facilities. It is expected that they will not work on their research during this time.

Leave is not counted towards the student's maximum period of registration, or any deadlines for milestones in their degree. Their end-date and/or

degree deadlines will be revised accordingly following approval of leave. Any fees that have been paid for the academic year in which leave takes place will either be wholly or partially refunded or rolled forward to the next academic year.

Leave will not be counted towards the maximum period of interruption research students are usually allowed to apply for.

Full-time students who wish to return from leave as part-time students will be supported in this.

Students whose studies are supported by a postgraduate studentship, scholarship or other award, should refer to the parental leave policy of the funding body from which they receive financial support.

### **3. Notification of intent to take leave**

The student should begin by discussing their proposed period of leave with their supervisory team, as soon as possible prior to the commencement of leave. The discussion should include the date on which it is to start, the length of time to be taken, and the plan for returning to studies.

International students in the UK on a study visa must also contact the immigration team at this time to discuss possible implications for their status. It may be necessary for them to leave the UK during leave and to apply for a new Visa when returning to their studies. Students engaged in work for the University, especially those for whom it is a requirement as part of an internally or externally funded studentship, should also provide relevant staff (programme or module convenors for example) with reasonable notice that they are going on leave.

Following this discussion, the student submits the *Application for Parental Leave* as soon as possible prior to the commencement of leave, along with the MATB1 form or matching certificate to the Chair of the Research Degrees Committee for approval, via the Graduate School. The Graduate School notifies the student, the Research Degrees Convenor and members of the supervisory team of the outcome.

Only members of staff who need to will be informed of the student's circumstances and this will be done only with the student's prior consent. Information about a student's pregnancy should be treated as sensitive personal data and kept confidential and processed in accordance with the General Data Protection Regulation. More information can be found in the University of Roehampton data protection policy:

[https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/uor\\_data-protection-policy-230518.pdf](https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/uor_data-protection-policy-230518.pdf)

### **4. Returning from Leave**

Students who wish to return to their studies earlier than had been agreed in the application must advise their supervisors and their school in writing.



Students can return to study at the beginning of each quarter. Students who wish to return later than had been agreed must discuss this with their supervisors and submit a further application, which will be processed as above.

Students returning from any period of leave must notify the Graduate School in advance, so that they can be re-enrolled. They will then be required to pay the remainder of the fees for that year, if applicable. See section 7 of the research degree regulations for more information. Full-time students who wish to return as part-time students should discuss this with their supervisors and submit the *Application for Change in Mode of Study* (form RDCom4) for consideration to the School Research Student Review Board. Requests will not be declined without justification.

## 5. Further support

The procedures regarding student pregnancy, maternity, paternity and infant care contains information on available support following leave. Students who wish to change their mode of study to part-time to deal with childcare or other family obligations will be supported. Students may also discuss the effect of family obligations on their workload with their supervisors. If needed, students may also apply to suspend their studies using the *Application for a Temporary Interruption to Registration* (form RDCom5), following the process outlined above for applications for leave. Students should also consult the mitigating circumstances policy: <https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/mitigating-circumstances-policy-18-19.pdf>

## 6. Further Information

For clarification of the above policy, or for more information, students should contact the Graduate School ([graduateschool@roehampton.ac.uk](mailto:graduateschool@roehampton.ac.uk)). They may also wish to consult the Equality and Diversity policy (<https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/equality--diversity-policy.pdf>) and the Dignity and Respect Policy (<https://www.roehampton.ac.uk/globalassets/documents/corporate-information/policies/roehampton-dignity-and-respect-policy.pdf>).

This policy forms part of the University's commitment to Athena Swan principles. For more information regarding Athena Swan see here: <https://www.ecu.ac.uk/equality-charters/athena-swan/about-athena-swan/>

## **Appendix 6: Student Visa further guidance and important links**

The University holds the status of Student Visa Sponsor, as such, is obliged to monitor and report on the status of any of its research students who hold a Tier 4/Student Visa. Research students are expected to be physically present at the University.

All students are strongly advised to familiarise themselves with the University's Student Visa Institutional Policy.

The following information is very important for all students who hold a Tier 4/Student Visa so please take the time to read it through carefully.

Please note that supervisory teams are not experts in immigration matters. At the end of the document you will find the contact details of relevant teams. It is highly recommended that you contact the Graduate School and the Immigration team for further information or to ask questions.

### **Enrolment**

Please note that you are expected to bring your documents to induction/schedule a visit with one of our Immigration officers.

### **Attendance requirements**

Research students are expected to be physically present at the University for the duration of their studies. Research students on study visas will be required to attend 8 in-person supervisions per year, a minimum of 3 RSDP in-person sessions per year and attend your induction and RDCom3 progression review in person.

### **Reporting of any periods of research spent away from the University**

Please complete the Overseas Fieldwork Form available on your Moodle site and return this to the Graduate School. This form must be signed by your Director of Studies and approved by our Immigration team before leaving the UK.

Students must note that failure to return to the University by the approved date will result in automatic and immediate termination of visa sponsorship. This must be completed and send through to the immigration team at least 10 working days before date of travel.

### **Undertaking work/employment in the UK**

Students on a Tier 4/Student visa are permitted to work up to 20 hours part-time per week although students should check their own visa for further restrictions. Your working hours may increase if: 1) you've submitted your thesis and are currently waiting for your Viva date, or 2) you've submitted your corrections and are waiting for your Award to be ratified. During any other periods of study, you will not be able to work more than 20 hours whilst holding a student Visa. Please note that when teaching at the University, preparation time is included in these hours.

### **Annual leave**

Up to 30 days of annual leave is permitted during each academic year of registration, including bank holidays and University closure days, excluding weekends.

Holiday can be taken either in the UK or in another country. In both instances, you are required to submit the Annual Leave Request Form for each period of holiday requested so that details can be recorded.

### **Post-submission**

If your viva has taken place, you have been given corrections, and your visa is going

to expire during that period, then you may need to request support for a further visa. Please send your Viva outcome letter to the Immigration team as confirmation of engagement with your studies and confirmation that you will be physically studying at the University during your corrections period.

#### **Awards and withdrawals**

Please note that the University is obliged to inform the Home Office that we have withdrawn visa sponsorship when your degree is awarded or withdrawn earlier than expected. If you wish to check if you can stay in the UK until your graduation ceremony then please contact the Immigration team.

Once the University has informed the Home Office that you have completed or withdrawn your degree earlier, this will affect your immigration status and you will be required to leave the UK.

#### **Useful pages/contacts**

If you need any further information please contact our Immigration team:  
[Immigration@roehampton.ac.uk](mailto:Immigration@roehampton.ac.uk)

<https://www.roehampton.ac.uk/international/visas-and-immigration/>

<https://www.gov.uk/government/organisations/uk-visas-and-immigration>

<https://www.roehampton.ac.uk/international/visas-and-immigration/further-information-and-useful-resources/>

#### **Version control**

Research Degrees Handbook 1617 Final v1 *as at 20/10/2016*

Research Degrees Handbook 1617 Final v2 *as at 17/05/2017*  
*Incorporating RSDP equivalence and online training definitions, more info about PGR study spaces, and more info re Research Student Travel Fund*

Research Degrees Handbook 1718 Final v1

Research Degrees Handbook 1718 Final v2 *Amendment RDB to RDCom*

Research Degrees Handbook 1819 Final v1 *as at 16/10/18*

Research Degrees Handbook 1819 Final v2 *as at 22/02/2019*

*Incorporating Parental Leave Policy, information on Research Ethics, changes to processes for changes in registration and examination.*

Research Degrees Handbook 1819 Final v3 *as at 15/04/2019*

*Incorporating changes to Director Studies roles and responsibilities and Models for supervisory team, Research student responsibilities, Responsibilities of the supervisory team, The research student-supervisor relationship and Resolving issues between PGRs and supervisors*

Research Degrees Handbook 21/22 Final v1 *as at 13/10/21*

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